



Defense Intelligence Agency

Personnel Security Program

Defense Intelligence Acquisition Conference

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Personnel Security Office

PURPOSE



**To acquaint you with the mission,
functions and workload of the
DIA Personnel Security Office (DAC-
3) and
how we support Industrial
Clearances.**

DIA's Personnel Security Mission



To **continuously** validate and certify the trustworthiness of and to establish standards of DIA and designated DoD-affiliated personnel required for positions of high trust or access to Sensitive Compartmented Information (SCI) through the due processes of investigation, polygraph examination, adjudication, indoctrination, badging, and clearance verification and transmittal.

Who We Serve



- Office of the Secretary of Defense
- Joint Staff
- All Defense Agencies (less NSA, NRO & NGA)
- All DoD Field Activities
- DIA

Estimated Population Supported ~
60,000 – 65,000 personnel

Common Services Provided to Customer Base: SCI
Adjudications, SCIF Accreditations, SSO Support,
Badging (IC & CAC), On-Request Investigative and
Polygraph Services



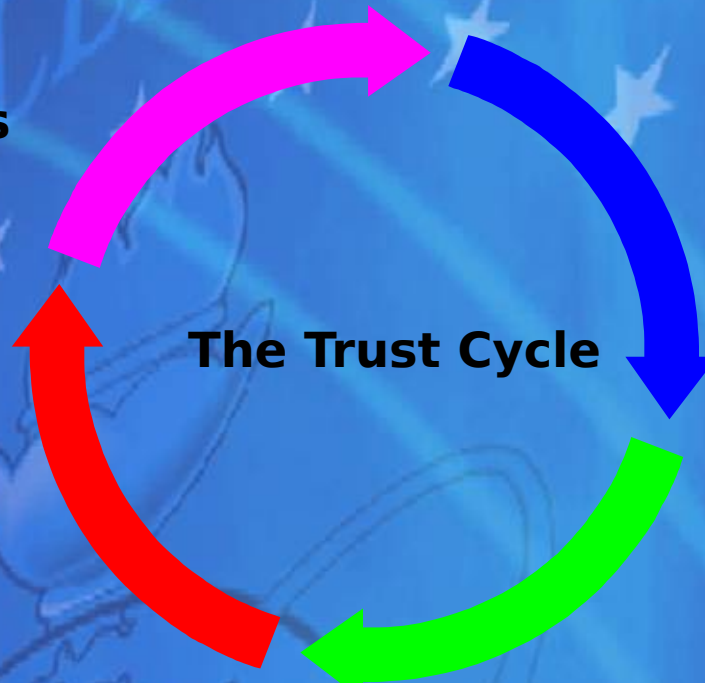
The Trust Cycle

Nominate

- Open case
- Determine access requirements
- Initiate investigations

Validate

- Indoctrinate
- Pass/Receive Clearances
- Perm Certs
- Transfers in Status
- Badging



Investigate

- NAC/LAC
- Credit checks
- SSBIs
- Subject Interview
- Polygraph Exam

Adjudicate

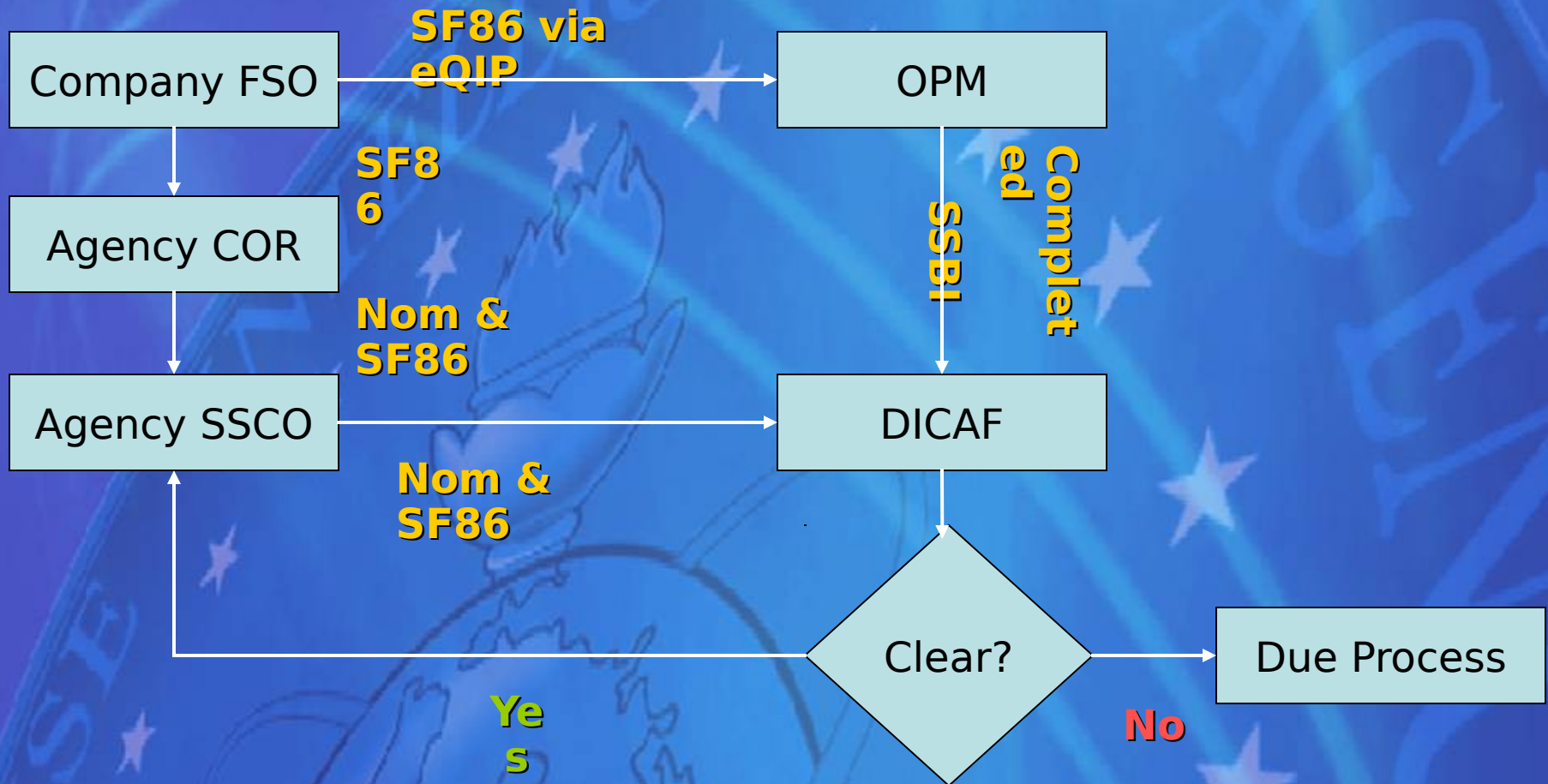
- Grant/Continue
- Deny/Revoke
- Waivers
- Conditions
- Due Process

Clearances We Process



- For DIA:
 - Civilian, Military, Contractor & Consultants
- For DoD Components, Defense Agencies & Field Activities:
 - Civilian and Contractor

Contractor Clearance Process



Initiating Security Clearance for DIA Contractors



- **Company:**
 - Identifies prospective contract employee for DIA
 - Submits a completed SF 86 on the prospective contract employee to the DIA Contracting Officer's Representative (COR) (if required)
 - Initiates any required SSBI or National Agency Check, with results sent to DIA/DAC-3A
- **Contracting Officers' Representative (COR):**
 - Validates contractor employee will be working on DIA contract
 - Submits package to cognizant DIA Special Security Contact Officer (SSCO)* for processing and forwarding to DAC-3A
- **Special Security Contact Officer (SSCO)*:**
 - Prepares Nomination/Certificate of Security Clearance (DD Form 1557) on contractor, to designate clearance/accesses required
 - Submits the DD Form 1557 (Certification of clearance/access/nomination) and other documentation, to the DIA Case Control and Records Management Branch (DAC-3A)

***Note: A SSCO is a security representative of the COR's organization**

Initiating Security Clearance for DIA Contractors



- DIA Case Control and Records Management Branch (DAC-3A):
 - Conducts database checks: Joint Personnel Adjudicative System (JPAS)/Scattered Castle (SC) to determine whether contractor has a prior (current) investigative basis or clearance eligibility determination.
 - If so - cross-over complete - databases updated (2 - 5 days)
 - If not - appropriate action is taken to initiate the process
 - Submits completed reports of investigation and associated records to the Defense Intelligence Clearance Adjudication Facility (DICAF) for adjudicative review and eligibility determination.
 - Reminder: DIA does not have the authority to grant TS and below clearances - that is accomplished through DISCO

Initiating Security Clearance for DIA Contractors



- Nomination package:
 - A. If cleared - DD 1557 & ltr from the company - please reflect review of SF86 was favorable
 - B. If not - DD 1557, ltr from company & current SF86
 - C. Other situations:
 - In status - but outdated SSBI
 - New SSBI or SSBIPR never adjudicated
 - Waivers, conditions or deviations
 - New information reflected on SF86 during CSSO review

Initiating Security Clearance for DIA Contractors



- **Defense Intelligence Central Adjudication Facility (DICAF):**
 - Renders clearance/access determination (i.e., grant/continue or deny/revoke)
 - Documents eligibility determination in the Joint Personnel Adjudication System (JPAS)
 - Returns case record to DAC-3A for final processing/disposition
- **DIA Case Control and Records Management Branch (DAC-3A):**
 - Annotates appropriate databases
 - Notifies SSCO and SSO (DAC-3C) of eligibility determination
- **Special Security Contact Officer (SSCO):**
 - Notifies appropriate parties (contractor, COR, and/or CSSO) to arrange for individual's indoctrination and/or badging, for favorable determinations

Crossovers



Defined as: “a change of security sponsorship from one agency to another for a contractor or for a government employee who is becoming a contractor; the access at the old employment must be at the same level or higher than the access required by the new sponsor (e.g., SCI to SCI, or SCI to TOP SECRET, but not TOP SECRET to SCI).”



Reporting Requirements

- Any significant change in the personal status:
- Legal name changes
 - Change in marital status
 - Change in residence
 - Adoption of a foreign national child
 - Marriage or intended marriage of a foreign national

Reporting Requirements Cont.



- Close & continuing association with foreign nationals
- Unofficial foreign travel
- Unofficial foreign contact
- Supplemental employment
- Arrests (shoplifting, DWI, DUI, etc.)
- Bankruptcy (judgments, collections, etc.)

Intelligence Community Directive #704.2 - Adjudicative Criteria



- Allegiance to U.S.
- Foreign Influence
- Foreign Preference
- Sexual Behavior
- Personal Conduct
- Financial Considerations
- Alcohol Consumption
- Drug Involvement
- Psychological Conditions
- Criminal Conduct
- Handling Protected Information
- Outside Activities
- Use of Information Technology Systems

DAC Innovations In Personnel Security



- Accelerated Clearance Eligibility (ACE)
 - Interview, polygraph, CBC, NCIC & initiate SSBI (vender/OPM)
- Process and Interim SCI Determinations
- DIA Agent Embed
- DAC-3 Psychologists
- Revision of DIA Conditions of Employment
- Expanded Polygraph Authorities

Processing Security Issues/Concerns



- Self-Reported or receive information and/or documentation
- Suspension of Access and/or Clearances
 - Work through COR and SSCO
 - Inform Company Security Officer
 - May result in “LOJ”
- Unique – CAF, SSO and Investigation/Polygraph office co-located to make immediate determinations

How To Contact Us



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Questions?